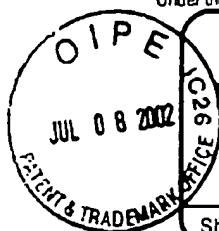


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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

### Complete if Known

Application Number	10/017,368
Filing Date	December 13, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA1682US

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
yy		BANKERS TRUST, "Authorization Manager- User Guide," Copyright February 1997 Bankers Trust. Print Date: August 13, 1997.	
		BANKERS TRUST, "Security Instruction Services- User Guide," Copyright February 1997 Bankers Trust. Print Date: October 1, 1997.	

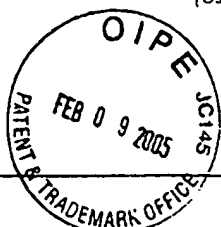
Examiner Signature		Date Considered	09/24/05
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Substitute) PATENT AND TRADEMARK OFFICE <b>Information Disclosure Statement</b> BY APPLICANT (Use several sheets if necessary)	Attorney Docket Number	Serial/Patent Number
	BEAS-01453US3	10/017,368
	Applicant/Patent Owner Mark Mariconi	
	Filing/Issue Date December 13, 2001	Group Art Unit 2134



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Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
PP	5,848,396	12/08/98	Gerace	705	10	04/26/96
	6,029,182	02/22/00	Nehab et al.	345	356	07/17/98
	6,141,010	10/30/00	Hoyle	345	356	
	6,157,924	12/05/00	Austin	707	10	11/07/97
	6,241,608 B1	06/05/01	Torango	463	27	01/09/98
	6,260,050 B1	07/10/01	Yost et al.	707	501	06/30/99
	6,269,393 B1	07/31/01	Yost et al.	709	201	06/19/00
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PP	2002/0069261 A1	06/06/02	Bellare et al.
	2002/0173971 A1	11/21/02	Stirpe et al.

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PP	Sundsted, Todd, "JNDI Overview, Part 1: An Introduction to Naming Services", JavaWorld, Jan. 2000, pp. 1-6, (downloaded from: <a href="http://www.javaworld.com/javaworld/jw-01-howto_p.html">www.javaworld.com/javaworld/jw-01-howto_p.html</a> ).
	Moore, Bill et al., "Migrating Weblogic Applications to WebSphere Advanced Edition", IBM Redbooks, Jan 2001, pp. 1,3-4, 109-111 and 181-195.
	Barrett, Alexandra, "Trying Out Transactions", SunExpert Magazine, Jan. 1999, pp. 57-59.
	Ayers, Danny, et al., Professional Java Server Programming, Wrox Press, Ltd., Birmingham, UK, Dec. 1999, pp. 515-545.
PP	Ford, Nigel, Web Developer.com Guide to Building Intelligent Web Sites with JavaScript", Wiley Computer Publishing, New York, NY, © 1998, pp. 65-86, 96-98, 101-102, 245-250 and 324-327.



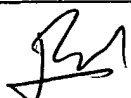
IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Mark Moriconi et al.  
SERIAL NO.: 10/017,368  
FILED: December 13, 2001  
TITLE: System and Method for Maintaining Security in a Distributed  
Computer Network  
EXAMINER: Unknown  
GROUP ART UNIT: 2131  
ATTY.DKT.NO.: PA1682US

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WASHINGTON, D.C. 20231

**List of Co-Pending Patent Applications That May Be Directed  
Towards Similar Subject Matter**

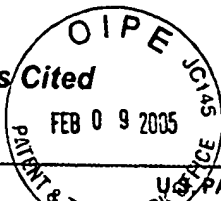
Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
P	09/721,557	Mark Moriconi	System and Method for Maintaining Security in a Distributed Computer Network	11/22/2000
Examiner's Signature:				Date 4/25/09

60/283,977  
60/306,509

BEAS-01081051

10/017368

<b>Notice of References Cited</b>	Application/Control No. 10/122,599	Applicant(s)/Patent Under Reexamination SMITH ET AL.	
	Examiner Robert M Stevens	Art Unit 2176	Page 1 of 2



U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
✓	A	US-6,587,849	07-2003	Mason et al.	707/5
✓	B	US-6,269,393	07-2001	Yost et al.	709/201
✓	C	US-6,260,050	07-2001	Yost et al.	715/501.1
✓	D	US-6,241,608	06-2001	Torango, Lawrence J.	463/27
✓	E	US-6,157,924	12-2000	Austin, Pamela Sue	707/10
✓	F	US-6,029,182	02-2000	Nehab et al.	715/523
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✓	H	US-2002/0173971	11-2002	Stirpe et al.	705/1
✓	I	US-2002/0069261	06-2002	Bellare et al.	709/218
✓	J	US-6,539,375	03-2003	Kawasaki, Charles	707/5
✓	K	US-6,285,985	09-2001	Horstmann, Cay	705/14
✓	L	US-6,141,010	10-2000	Hoyle, Martin David	715/854
✓	M	US-			

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✓	N				Fliesler, Meyer LLP	
	O				File: BEAS-01453453	
	P				Action Item: <u>Suppl. Info. Disc.</u>	stmt
	Q				Date Due: <u>February 1, 2005</u>	
	R				Critical Date: <u>February 1, 2005</u>	
	S				Attorney Path: <u>Sam/EPK</u>	
	T				Docketed By: <u>JCM</u>	
					Verified By: <u>Amrk</u>	

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
✓	U	Sundsted, Todd, "JNDI Overview, Part 1: An Introduction to Naming Services", JavaWorld, Jan. 2000, pp. 1-6, (downloaded from: <a href="http://www.javaworld.com/javaworld/jw-01-2000/jw-01-howto_p.html">www.javaworld.com/javaworld/jw-01-2000/jw-01-howto_p.html</a> ).
✓	V	Moore, Bill, et al., "Migrating WebLogic Applications to WebSphere Advanced Edition", IBM Redbooks, Jan. 2001, pp. 1, 3-4, 109-111 and 181-195.
✓	W	Barrett, Alexandra, "Trying Out Transactions", SunExpert Magazine, Jan. 1999, pp. 57-59.
✓	X	Ayers, Danny, et al., Professional Java Server Programming, Wrox Press, Ltd., Birmingham, UK, Dec. 1999, pp. 515-545.

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Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

10/017368

# Notice of References Cited

FEB 09 2005

Application/Control No.

10/122,599

Applicant(s)/Patent Under  
Reexamination  
SMITH ET AL.

Examiner

Robert M Stevens

Art Unit

2176

Page 2 of 2

## U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
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	K	US-			
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	N					
	O					
	P					
	Q					
	R					
	S					
	T					

## NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Ford, Nigel, Web Developer.com Guide to Building Intelligent Web Sites with JavaScript, Wiley Computer Publishing, New York, NY, © 1998, pp. 65-86, 96-98, 101-102, 245-250 and 324-327.
	V	Microsoft Computer Dictionary, 4th Edition, Microsoft Press, Redmond, WA, © 1999, p. 489.
	W	
	X	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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**Complete if Known**

Application Number	10/017,368
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<b>Filing Date</b>	December 13, 2001
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First Named Inventor	Mark Moriconi
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Group Art Unit	2131
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Examiner Name	Unknown
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Attorney Docket Number	PA1682US
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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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**Examiner  
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Date  
Considered

4/26/08

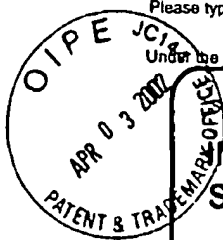
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

### Complete if Known

Application Number	10/017,368
Filing Date	December 13, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA1682US

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### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
PP		Website of ENTITLENET, INC., URL: www.entitlenet.com/ Publication Date Unknown, Download Date: 11-17-2000	
		JOHN FIELD, "From Authorization to Transactional Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
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		JOHN FIELD, "Using Elara (TM)," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
PP		AUTHOR UNKNOWN, "AppShield (TM) Version 3.0," (White Paper), Website of Sanctum, Inc., URL: www.sanctuminc.com Published: 12/2000	

Examiner Signature		Date Considered	4/25/05
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